## IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

DANIEL ANDERSEN,	)	
Plaintiff,	)	
v.	)	
	)	Case No. 1:16-cv-01963
THE CITY OF CHICAGO, JAMES	)	
BEDNARKIEWICZ #6793, RICHARD	)	
BEDRAN #2812, CRAIG CEGIELSKI #14238,	)	
DAN FITZGERALD #9342, JOHN HERMAN	)	
#12088, JAMES HIGGINS #3094, DANIEL	)	JURY TRIAL DEMANDED
MCWEENY #14367, TED MELKO #11558,	)	
PAUL NIELSEN #8060, JOHN OLSON #3357,	)	
L. PAWLOWSKI #2525, N. RAJEWSKI	)	
#12601, MICHAEL RILEY [STAR # UNK],	)	
RICHARD ROCHOWICZ #2812, and	)	
UNKNOWN EMPLOYEES OF THE CITY OF	)	
CHICAGO,	)	
	)	
Defendants.	)	

## QUALIFIED HIPAA AND MHDDCA PROTECTIVE ORDER

Pursuant to Fed.R.Civ.P. 26(c), the Health Insurance Portability and Accountability Act of 1996 codified primarily at 18, 26 and 42 U.S.C., and 45 C.F.R. §§ 160 & 164, and 740 ILCS 110/1-17, the parties to this action, by and through their respective counsel, have represented the following to the Court, and the Court finds:

- A. The following words and terms are defined for purposes of this agreed, qualified protective order:
- 1. "Parties" shall mean plaintiff, the City of Chicago, the individual defendants, and any additional party that this court may subsequently recognize as subject to this qualified protective order, and their attorneys.

- 2. "HIPAA" shall mean the Health Insurance Portability and Accountability Act of 1996, codified primarily at 18, 26 and 42 U.S.C. (2002).
- 3. "MHDDCA" shall mean Mental Health and Developmental Disabilities Confidentiality Act, 740 ILCS 110/1-17.
- 4. "Privacy Standards" shall mean the Standards for Privacy of Individually Identifiable Health Information. *See* 45 C.F.R. §§ 160 & 164 (2000).
- 5. "PHI" shall mean protected health information, as that term is used in HIPAA and the Privacy Standards. "PHI" includes, but is not limited to, health information, including demographic information, relating to either, (a) the past, present, or future physical or mental condition of an individual; (b) the provision of care to an individual; or (c) the payment for care provided to an individual, which identifies the individual or which reasonably could be expected to identify the individual.
- 6. "Mental Health Communication" shall mean "communication" as that term is defined in MHDDCA.
- 7. "Mental Health Record" shall mean "record" as that term is defined in MHDDCA.
  - B. The Parties are familiar with HIPAA, MHDDCA, and the Privacy Standards.
- C. The Parties recognize that it may be necessary during the course of this proceeding to produce, disclose, receive, obtain, subpoena, and/or transmit PHI, Mental Health Communication, and/or Mental Health Record of parties, third parties, and non-parties to other parties, third parties and non-parties.
  - D. The Parties agree to the following terms and conditions:

- 1. The Parties agree to assist each other in the release of PHI, Mental Health Communication, and/or Mental Health Record by waiving all notice requirements that would otherwise be necessary under HIPAA, MHDDCA, and the Privacy Standards.
- 2. The Parties either seek or agree to the release of PHI, Mental Health Communication, and/or Mental Health Record specifically for, but not limited to, the following person, from the covered entity or entities identified in interrogatory answers, supplementary disclosures, deposition testimony, or other discovery tools:

## DANIEL ANDERSEN, DOB: 9/14/1960

- 3. The Parties agree that any subpoena issued for Mental Health Records, as defined under MHDDCA, pertaining to the Plaintiff, shall be returnable to Plaintiff's counsel for review for privileged materials. Plaintiff's counsel shall conduct an expedited review and produce all non-privileged records within seven (7) days of receipt of the production. In the event Plaintiff's counsel believes a privilege applies, those documents shall be produced to Judge Kendall within seven (7) days of receipt of the production for an *ex parte* review of those specific documents.
- 4. The Parties agree not to use or disclose the PHI, Mental Health Communication, or Mental Health Record released in this proceeding for any other purpose or in any other proceeding.
- 5. The Parties agree to store all PHI, Mental Health Communication, and/or Mental Health Record while it is in their possession according to the Privacy Standards and MHDDCA.
- 6. The Parties agree at the termination of this proceeding to return all PHI, Mental Health Communication, and/or Mental Health Record obtained during the course of this proceeding to the attorney representing the person whose PHI, Mental Health Communication,

and/or Mental Health Record was released during the course of this proceeding, and/or to

dispose of the PHI, Mental Health Communication, and/or Mental Health Record released during

the course of this proceeding pursuant to the Privacy Standards and MHDDCA.

7. Any documents containing PHI, Mental Health Communication, and/or

Mental Health Record shall be marked as CONFIDENTIAL -PURSUANT TO PROTECTIVE

ORDER and shall not be disclosed in any publicly filed documents unless the PHI, Mental

Health Communication, and/or Mental Health Record has been redacted.

The Parties shall, at the termination of this proceeding, return all PHI,

Mental Health Communication, and/or Mental Health Record obtained during the course of this

proceeding to the attorney representing the person whose PHI, Mental Health Communication,

and/or Mental Health Record was released during the course of this proceeding, and/or to

dispose of the PHI, Mental Health Communication, and/or Mental Health Record released during

the course of this proceeding pursuant to the Privacy Standards and MHDDCA.

9. Nothing in this protective order shall be deemed a waiver of the right of

any party to object to a request for discovery on the basis of relevance, materiality, privilege,

overbreadth, or any other recognized objection to discovery.

District Court Judge

DATE: <u>11/29/2016</u>

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